



ISSUE FEE AND/OR PUBLICATION FEE TRANSMITTAL

This form is an equivalent of Part B of the Notice of Allowance and Fee(s) Due, and is in compliance with the requirement on how to reply to the Notice (Item II, Page 1 of Notice)

CURRENT CORRESPONDENCE ADDRESS

30869 7590 9/3/2009

LUMEN PATENT FIRM
350 CAMBRIDGE AVE SUITE 100
PALO ALTO, CA 94306

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO (571) 273-2885, on the date shown below:

Patricia Shepherd (Depositor's name)

/ Patricia Shepherd / (Signature)

9/17/09 (Date)

Application No.	Filing Date	First Named Inventor	Docket No.	Confirmation No.
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10/618566	7/10/2003	David Luenberger	LUB-101/US	3757
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Title: **METHODS FOR ASSIGNING A PRICE TO AN ASSET THAT IS A DERIVATIVE OF A NON-MARKETED VARIABLE**

Appl. Type	Small Entity	Issue Fee Due	Pub. Fee Due	Prev. Paid IF	Total Fee Due	Date Due
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nonprovisional	YES	755	300	\$0	\$1055	12/3/2009
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Examiner	Art Unit	Class-SubClass
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Wong, Eric Tak Wai	3693	705/036
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1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363):

- ☐ Change of correspondence address attached.
☐ "Fee address" indication attached.

2. For printing on the patent front page list firm name:

LUMEN PATENT FIRM

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT.

Unless an assignee is identified below, no assignee will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (City and State or Country)

09/23/2009 CNGUYEN3 00000016 10618566

SI F010381
SC F011064

755.00 UP
300.00 UP

Please check the appropriate assignee category/categories: ☒ Individual ☐ Corporation or Private Group Entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☒ Publication Fee
☐ Advance Order - # of Copies _____

4b. Payment of fee(s):

- ☐ Check is enclosed
☒ Payment by credit card (form is attached)
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account No. _____ (enclose extra copy)

5. Change in entity status (from previous established status)

- ☐ a. Applicant claims SMALL ENTITY status ☐ b. Applicant is no longer claiming SMALL ENTITY status

SIGNATURE OF APPLICANT, REGISTERED ATTORNEY, OR REGISTERED AGENT

SIGNATURE	/ Thomas J. McFarlane / Reg.No. 39,299	DATE	9/17/09
PRINTED NAME	Thomas J. McFarlane	REG. NO.	39,299